

<table>
<thead>
<tr>
<th>著者</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nakamura R., Toda T., Tsukui S., Tane M., Ishimaru M., Suzuki T., Nakajima H.</td>
</tr>
</tbody>
</table>

**Journal of Applied Physics**

**Volume**: 116  
**Number**: 3  
**Year**: 2014-07-21  
**URL**: [http://hdl.handle.net/10466/15023](http://hdl.handle.net/10466/15023)  
**doi**: 10.1063/1.4889800
Diffusion of oxygen in amorphous $\text{Al}_2\text{O}_3$, $\text{Ta}_2\text{O}_5$, and $\text{Nb}_2\text{O}_5$

R. Nakamura,¹,a) T. Toda,² S. Tsukui,¹ M. Tane,² M. Ishimaru,³ T. Suzuki,² and H. Nakajima⁴

¹Department of Materials Science, Graduate School of Engineering, Osaka Prefecture University, Gakuen-cho 1-1, Naka-ku, Sakai 599-8531, Japan
²The Institute of Scientific and Industrial Research, Osaka University, Mihogaoka 8-1, Ibaraki, Osaka 567-0047, Japan
³Department of Materials Science and Engineering, Kyushu Institute of Technology, Tobata, Kitakyushu, Fukuoka 804-8550, Japan
⁴The Wakasa Wan Energy Research Center, Tsuruga, Fukui 914-0192, Japan

(Received 3 June 2014; accepted 26 June 2014; published online 15 July 2014)

The self-diffusivity of oxygen in amorphous $\text{Al}_2\text{O}_3$ (a-$\text{Al}_2\text{O}_3$), a-$\text{Ta}_2\text{O}_5$, and a-$\text{Nb}_2\text{O}_5$ was investigated along with structural analysis in terms of pair distribution function (PDF). The low activation energy, $\sim$1.2 eV, for diffusion in the oxides suggests a single atomic jump of oxygen ions mediated via vacancy-like defects. However, the pre-exponential factor for a-$\text{Ta}_2\text{O}_5$ and a-$\text{Nb}_2\text{O}_5$ with lower bond energy was two orders of magnitude larger than that for a-$\text{Al}_2\text{O}_3$ with higher bond energy. PDF analyses revealed that the short-range configuration in a-$\text{Ta}_2\text{O}_5$ and a-$\text{Nb}_2\text{O}_5$ was more broadly distributed than that in a-$\text{Al}_2\text{O}_3$. Due to the larger variety of atomic configurations of a-$\text{Ta}_2\text{O}_5$ and a-$\text{Nb}_2\text{O}_5$, these oxides have a higher activation entropy for diffusion than a-$\text{Al}_2\text{O}_3$. The entropy term for diffusion associated with short-range structures was shown to be a dominant factor for diffusion in amorphous oxides. © 2014 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4889800]

I. INTRODUCTION

With the recent and rapid advances in nanotechnology and nanoscience, it has become possible to easily and controllably obtain amorphous metal oxides by thin film production processes such as evaporation, sputtering, and atomic layer deposition process, and by chemical routes such as anodic oxidation processes. There is growing interest in amorphous oxides for use as functional materials in a wide range of applications in the field of electrical, optical, chemical, and environmental engineering. For example, amorphous $\text{Al}_2\text{O}_3$ (a-$\text{Al}_2\text{O}_3$), a-$\text{Ta}_2\text{O}_5$, and a-$\text{Nb}_2\text{O}_5$ are considered good coating materials for electrical, biomedical, and optical uses, the thermal stability of the layer is an important factor. Knowledge of the diffusional properties in the amorphous oxides is crucial not only to assess their thermal stability and interface stability, but also to be able to tailor the properties of the material. However, knowledge of their diffusion properties is quite limited. To date, only oxygen diffusivity with low activation energy in a-$\text{Al}_2\text{O}_3$ has been reported.⁹

Atomic movements in materials are discussed from the perspective of local atomic structures and defect properties. Amorphous oxides are known for the unique structural properties they share. They are much less dense than their counter-part crystalline phases; a-$\text{Al}_2\text{O}_3$ (2.8–3.0 $\text{g cm}^{-3}$) and a-$\text{Ta}_2\text{O}_5$ (5.0–5.5 $\text{g cm}^{-3}$) are 20% and 30% less dense than $\gamma$-$\text{Al}_2\text{O}_3$ (3.69 $\text{g cm}^{-3}$) and orthorhombic $\beta$-$\text{Ta}_2\text{O}_5$ (7.16 $\text{g cm}^{-3}$), respectively,¹⁰⁻¹¹ indicating that their structures at the atomic-level are highly defective. The structure of a-$\text{Al}_2\text{O}_3$ has been actively discussed from the short- and medium-range point-of-view by x-ray photoelectron spectroscopy, extended x-ray absorption fine structure technique, transmission electron microscopy, nuclear magnetic resonance spectroscopy, and also by a number of theoretical approaches.¹⁸⁻⁻²² On the other hand, few studies have been reported for a-$\text{Ta}_2\text{O}_5$ and a-$\text{Nb}_2\text{O}_5$.²³⁻²⁵

In this study, the diffusivity of the common element, oxygen, in a-$\text{Al}_2\text{O}_3$, a-$\text{Ta}_2\text{O}_5$, and a-$\text{Nb}_2\text{O}_5$ was investigated. A pair distribution function analysis by transmission electron microscopy was applied since this technique has advantage in describing the overall pictures of local atomic structures for amorphous matters and the change in structure before and after annealing. The diffusion properties of amorphous oxides were clarified by focusing on the short-range atomic structures.

II. EXPERIMENTAL PROCEDURE

A. Diffusion experiment

100 nm-thick films of a-$\text{Al}_2\text{O}_3$, a-$\text{Nb}_2\text{O}_5$, and a-$\text{Ta}_2\text{O}_5$ were prepared; the a-$\text{Al}_2\text{O}_3$ films were fabricated by using an electron-beam evaporation technique and the a-$\text{Nb}_2\text{O}_5$ and a-$\text{Ta}_2\text{O}_5$ films were fabricated by radio-frequency sputtering. A high-purity tablet and target of the crystalline oxide (99.99%–99.99%) were used as the sources for electron-beam evaporation and sputtering, respectively. Films were deposited onto the mirror surface of silicon substrates of $10 \times 5 \times 0.5 \text{ mm}^3$.

The amorphous oxide on the substrate was subjected to diffusion-annealing for the penetration of $^{18}$O$^+$. The sample was put into in a quartz-tube electric furnace and pre-annealed under 10⁸ Pa of $^{18}$O$^+$ at a given temperature. Soon

--

aAuthor to whom correspondence should be addressed. Electronic mail: nakamura@mtr.osakafu-u.ac.jp. Tel.: +81 72 254 7384. Fax: +81 72 254 9912.
after the pre-annealing, $^{16}$O$_2$ was replaced by $^{18}$O$_2$ and then diffusion-annealing was performed at the same temperature. To measure the temperature dependence of diffusion coefficients in sufficiently relaxed amorphous oxides, the pre-annealing time, $t_p$, for $^{16}$O$_2$ was set at five times longer than the diffusion-annealing time, $t$, for $^{18}$O$_2$. It should be noted, however, that $t_p$ was set to be short enough to prevent structural relaxation when measuring the time dependence of the diffusion coefficients at constant temperature. The details of condition setting will be explained later. The penetration profile of $^{18}$O was obtained by secondary ion mass spectrometry (CAMECA SIMS-4100). The film thickness and surface roughness of each sample annealed for diffusion were determined by x-ray reflectometry using a Rigaku SmartLab. The surface roughness was determined to be below 1 nm.

For comparison purposes, the diffusion coefficient in $\gamma$-Al$_2$O$_3$, which forms during the crystallization of a-Al$_2$O$_3$, was also measured. A thin film of $\gamma$-Al$_2$O$_3$ was obtained by annealing a-Al$_2$O$_3$ on a silicon substrate at 1173 K for 4 h in air, which was sufficiently longer than the 5 min set by Nabatame et al. $^9$ The annealing temperature and time were confirmed to be well suited for the crystallization and grain growth of the diffusion sample because the silicon-oxide layer at the Al$_2$O$_3$/Si interface became thicker at higher temperature or longer time than the above condition.

B. Structural analysis by transmission electron microscopy

Specimens for cross-sectional TEM observation and for pair distribution analysis were prepared separately. For the cross-sectional observation, the silicon substrate, on which the film was deposited under the same conditions as those mentioned above, was sliced along the thickness direction. Then, it was thinned by a tripod polishing technique, which was followed by argon ion milling. The cross-sectional image and the electron diffraction patterns of the as-deposited and the annealed specimens were observed by a JEOL JEM-3000F TEM at 300 kV.

For a pair distribution function (PDF) analysis, thin films of the amorphous oxides 20–30 nm in thickness were deposited onto cleavage NaCl crystals. The NaCl, on which the thin film was deposited, was put into distilled water, and then the floating thin film was mounted onto a platinum grid. The intensities of the selected-area electron diffraction (SAED) patterns of the plan-view specimens before and after annealing in air were recorded on an imaging plate (Eu$_{2}^{2+}$ doped BaFBr) for PDF analysis by TEM at 300 kV. To avoid contamination, electron diffraction intensities were measured at cryogenic temperatures using a cooling holder. The intensities of the as-deposited amorphous oxides were analyzed quantitatively using a Digital Micro-Luminography FDL5000 to obtain the PDF of the amorphous oxides. $^{26,27}$

III. RESULTS AND DISCUSSION

A. Structural analysis

Figure 1 shows cross-sectional TEM images and the corresponding SAED for 100 nm-thick specimens of amorphous Al$_2$O$_3$ (a), Ta$_2$O$_5$ (b), and Nb$_2$O$_5$ (c) before and after annealing in air at 973 K for 4.8 ks, at 800 K for 4.2 ks, and at 650 K for 7.2 ks, respectively. These temperatures are not less than 100 K below their crystallization temperatures, 1073 K for a-Al$_2$O$_3$, $^{28}$ 923 K for Ta$_2$O$_5$, $^{29}$ and 823 K for Nb$_2$O$_5$. $^{30}$ The structures of the specimens were confirmed to remain amorphous after annealing at the conditions. Therefore, the upper limit temperature for diffusion-annealing experiments was considered to be these temperatures.

Figure 2 shows the atomic PDFs for a-Al$_2$O$_3$ (a), Ta$_2$O$_5$ (b), and Nb$_2$O$_5$ (c) obtained by analyzing the SAED patterns of the amorphous regions. As shown in Fig. 2(a), Al-O, O-O, and Al-Al peaks can be seen at around 0.18, 0.28, and 0.32 nm, respectively, which is in good agreement with a number of experimental and simulated PDFs of amorphous Al$_2$O$_3$. $^{16,18-22}$ The PDF of Ta$_2$O$_5$ and Nb$_2$O$_5$ is similar with regard to peak position and intensity; peaks for Ta (and Nb)-O, O-O, Ta (Nb)-Ta (Nb) (1), and Ta (Nb)-Ta (Nb) (2) appear at around 0.19, 0.28, 0.34 and 0.37 nm with almost same intensity level (the number in the parenthesis indicates the shorter and longer distance). The common feature is that the

FIG. 1. Cross-sectional TEM images and the corresponding electron diffraction patterns of as-deposited and annealed amorphous oxides: (a) a-Al$_2$O$_3$, (b) a-Ta$_2$O$_5$, and (c) a-Nb$_2$O$_5$. 

[This article is copyrighted as indicated in the article. Reuse of AIP content is subject to the terms at: http://scitation.aip.org/termsconditions. Downloaded to | IP: 157.16.177.79 On: Fri, 18 Jul 2014 13:15:08]
PDFs for as-deposited and annealed amorphous oxides are almost consistent with respect to peak intensity and position.

B. Oxygen diffusion

Figures 3(a)–3(d) show an example of the intensity profiles of $^{16}$O, $^{18}$O, and metal ions of amorphous oxides and $\gamma$-Al$_2$O$_3$ films after diffusion-annealing in an $^{18}$O$_2$ atmosphere obtained by SIMS. The intensity of metal ions as well as $^{16}$O is almost constant from surface to inside of the film while that of $^{18}$O decreases monotonically from the surface and reaches a constant intensity level. The normalized concentration of $^{18}$O to total oxygen concentration ($^{16}$O + $^{18}$O) was confirmed to reach the natural abundance of $^{18}$O, around 0.2%, which corresponds to the background concentration $C_{bg}$. The condition used for the exchange annealing corresponds to a semi-infinite medium with a surface concentration, $C_s$, constant. The approximate solution for Fick’s second law is expressed as

$$\text{erfc}^{-1}\left\{\frac{C(x) - C_{bg}}{C_s - C_{bg}}\right\} = \frac{x}{2\sqrt{Dt}}$$  \hspace{1cm} (1)

under the initial condition of $C(x) = C_{bg}$ for $t = 0$ and $x > 0$, and $C(x) = C_s$ for $x = 0$, where $x$ and $t$ are diffusion distance and time, respectively. From the slope for the plots of inverse error function against $x$, as shown in Fig. 4, the diffusion coefficient of oxygen was determined by using Eq. (1).

The effect of structural relaxation on the diffusion properties in amorphous metals and metallic glasses has been investigated; diffusion coefficients have a tendency to decrease as a function of annealing time and then reach a constant value at a steady, relaxed amorphous state. In such a case, a diffusion coefficient obtained by the solution of Fick’s second law is regarded as a time-averaged value, $D(t) = \frac{1}{T} \int_0^T D(t) dt$, for the time-dependent diffusion coefficients $D(t)$, as illustrated in Fig. 5(a). An attempt to estimate time-dependent diffusion coefficients has been made for amorphous metals and metallic glasses by using the equation below

$$D(t) = D(t) + t \frac{dD(t)}{dt}.$$  \hspace{1cm} (2)

To apply Eq. (2), many experimental data are required to solve the equation. In the present study, we developed an alternative method to obtain time-dependent diffusion coefficients which can be applied when the number of experimental data is limited. When a diffusion coefficient is determined by using Eq. (1), a time-averaged diffusion coefficient, $D_n = \frac{1}{n\Delta t} \int_0^{n\Delta t} D(t) dt$, is obtained for the diffusion-annealing time $n\Delta t$, as shown in Fig. 5(a). The obtained $D_n$ clearly deviates from the intrinsic diffusion coefficient $D(t)$, as shown in Fig. 5(a). However, by measuring the time-averaged diffusion coefficients $D_n$ for different annealing times, time-segmented diffusion coefficients $D_n$ for time-segments $\Delta t (=n\Delta t - (n-1)\Delta t)$, shown in Fig. 5(b), can be evaluated. It should be noted that the averaging times are different between the coefficients $D_n$ and $D_n$, and the coefficient $D_n$ must be closer to the intrinsic diffusion coefficient $D(t)$, because the averaging time is shorter. The concept of the evaluation of $D_n$ is based on the time-segmentation of atomic jumps, as explained in the Appendix in detail. Since the number of atomic jumps during total diffusion time $n\Delta t$ is equal to the sum of time-segmented atomic jumps during $\Delta t$, $D_n$ can be expressed by $D_n$ as follows:
\[ nD_n \Delta t = \sum_{i=1}^{n} D_i \Delta t \{ i - (i - 1) \} \]
\[ = D_1 \Delta t + D_2 \Delta t + \cdots + D_n \Delta t, \]
\( (3) \)

where \( D_1 = \bar{D}_1 \). When we measure the averaged diffusion coefficients \( D_1 (= \bar{D}_1) \), \( D_2 \), and \( \bar{D}_3 \) for \( \Delta t \), \( 2\Delta t \), and \( 3\Delta t \), respectively, \( D_2 \) is calculated from \( D_2 \) and \( D_1 \), and then \( D_3 \) is calculated from \( D_3 \), \( D_2 \), and \( D_1 \) using Eq. (3), sequentially. The value of \( D_n \) can be obtained more accurately by setting the time-segment \( \Delta t \) smaller. In our experiments, \( t \) was set to be \( 1.8 \sim 7.2 \text{ ks} \) for \( n = 1 \sim 4 \) and \( \Delta t = 1.8 \text{ ks} \). \( \bar{D}_n \) and \( \bar{D}_n \) of oxygen obtained at 873 and 973 K in a-Al\(_2\)O\(_3\) are summarized in Table I and plotted against \( t/2 \) and \( t - \Delta t/2 \), respectively, in Fig. 6. The effect of structural relaxation on the diffusion coefficients is clearly seen in the time-dependence of \( D_n \) rather than time-averaged \( \bar{D}_n \). The degree of relaxation seems to be about one order, which is similar to that for metallic glasses.31

**FIG. 3.** An example of intensity-depth profile of \(^{16}\text{O}, ^{18}\text{O}, \) and metal ions in amorphous oxides (a) a-Al\(_2\)O\(_3\) at 900 K for 19.8 ks, (b) a-Ta\(_2\)O\(_5\) at 675 K for 9.9 ks, and (c) a-Nb\(_2\)O\(_5\) at 625 K for 1.8 ks, and in (d) \( \gamma \)-Al\(_2\)O\(_3\) at 1023 K for 331.2 ks.

**FIG. 4.** Concentration profiles of oxygen converted from Fig. 3 through the Eq. (1). The lower scale is for a-Al\(_2\)O\(_3\), a-Nb\(_2\)O\(_5\), and a-Ta\(_2\)O\(_5\), while the upper scale is for \( \gamma \)-Al\(_2\)O\(_3\).

**FIG. 5.** Schematic illustrations of time-dependent diffusion coefficient. (a) Time-averaged values \( \bar{D}_n \) obtained through Eq. (1). (b) An idea to estimate \( D_n \) by using \( \bar{D}_n \) during a uniform time-segment.
The temperature dependence of $D$ in sufficiently-relaxed amorphous oxides and in $\gamma$-$\text{Al}_2\text{O}_3$ is shown in Fig. 7. The diffusion coefficients for each annealing condition, and the activation energy $Q$ and pre-exponential factor $D_0$ for oxygen diffusion are summarized in Tables II and III, respectively. The value of $D$ in $\alpha$-$\text{Al}_2\text{O}_3$ is in good agreement with that obtained by Nabatame et al. (broken line). $^9$ $Q$ of $\alpha$-$\text{Al}_2\text{O}_3$, 1.3 eV, obtained in the present work agrees well with that reported by Nabatame et al., 1.2 eV. $D$ in $\gamma$-$\text{Al}_2\text{O}_3$ in the present work is about three orders of magnitude smaller than $D$ in $\alpha$-$\text{Al}_2\text{O}_3$ in the same temperature range. The large discrepancy in $D$ in $\gamma$-$\text{Al}_2\text{O}_3$ between the present work and the literature data can be seen particularly at low temperature region. As a result, $Q$ of the present work, 2.4 eV, is twice as large as that of Nabatame et al., 1.3 eV. This indicates that a crystalline phase with lower diffusivity has a higher activation energy than its amorphous phase with higher diffusivity. As mentioned in Sec. II A, we set the annealing time for the preparation of $\gamma$-$\text{Al}_2\text{O}_3$ from $\alpha$-$\text{Al}_2\text{O}_3$ at a much longer time than that used by Nabatame et al. for the purpose of grain growth.

**TABLE II.** Diffusion coefficients in relaxed state of amorphous $\text{Al}_2\text{O}_3$, Ta$_2$O$_5$, Nb$_2$O$_5$, and $\gamma$-$\text{Al}_2\text{O}_3$.

<table>
<thead>
<tr>
<th>Oxides</th>
<th>$T$/K</th>
<th>$^{18}$O annealing time, $\tau$/ks</th>
<th>$^{18}$O annealing time, $t$/ks</th>
<th>$D$/m$^2$s$^{-1}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\alpha$-$\text{Al}_2\text{O}_3$</td>
<td>750</td>
<td>589.2</td>
<td>144</td>
<td>$2.5 \times 10^{-11}$</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>800</td>
<td>259</td>
<td>87.6</td>
<td>$1.2 \times 10^{-11}$</td>
</tr>
<tr>
<td></td>
<td>860</td>
<td>216</td>
<td>73.2</td>
<td>$4.5 \times 10^{-11}$</td>
</tr>
<tr>
<td></td>
<td>900</td>
<td>109.8</td>
<td>19.8</td>
<td>$1.3 \times 10^{-10}$</td>
</tr>
<tr>
<td>$\gamma$-$\text{Al}_2\text{O}_3$</td>
<td>925</td>
<td>72</td>
<td>14.4</td>
<td>$6.6 \times 10^{-11}$</td>
</tr>
<tr>
<td></td>
<td>950</td>
<td>61.2</td>
<td>19.8</td>
<td>$1.9 \times 10^{-10}$</td>
</tr>
<tr>
<td></td>
<td>1023</td>
<td>439.2</td>
<td>331.2</td>
<td>$1.2 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>1073</td>
<td>187.2</td>
<td>172.8</td>
<td>$1.5 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>1123</td>
<td>123.3</td>
<td>87.3</td>
<td>$1.3 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>1148</td>
<td>84</td>
<td>64.5</td>
<td>$8.4 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>1198</td>
<td>10.8</td>
<td>7.2</td>
<td>$8.1 \times 10^{-9}$</td>
</tr>
<tr>
<td>$\alpha$-Ta$_2$O$_5$</td>
<td>625</td>
<td>219.6</td>
<td>73.2</td>
<td>$1.5 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>650</td>
<td>54</td>
<td>16.2</td>
<td>$2.6 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>675</td>
<td>35.1</td>
<td>9.9</td>
<td>$5.7 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>700</td>
<td>61.2</td>
<td>7.56</td>
<td>$1.1 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>750</td>
<td>45</td>
<td>1.8</td>
<td>$4.5 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>800</td>
<td>3.6</td>
<td>0.6</td>
<td>$2.3 \times 10^{-9}$</td>
</tr>
<tr>
<td>$\alpha$-Nb$_2$O$_5$</td>
<td>500</td>
<td>248.2</td>
<td>98.1</td>
<td>$4.3 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>525</td>
<td>64.8</td>
<td>18</td>
<td>$6.9 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>550</td>
<td>21.6</td>
<td>7.2</td>
<td>$1.9 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>575</td>
<td>10.2</td>
<td>1.8</td>
<td>$7.1 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>600</td>
<td>5.4</td>
<td>1.56</td>
<td>$1.8 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>625</td>
<td>11.7</td>
<td>1.8</td>
<td>$5.3 \times 10^{-9}$</td>
</tr>
<tr>
<td></td>
<td>650</td>
<td>6.0</td>
<td>1.2</td>
<td>$6.7 \times 10^{-9}$</td>
</tr>
</tbody>
</table>

**TABLE III.** Activation energy $Q$ and frequency factor $D_0$ for oxygen diffusion in the amorphous oxides and $\gamma$-$\text{Al}_2\text{O}_3$.

<table>
<thead>
<tr>
<th>Oxides</th>
<th>$Q$/eV</th>
<th>$D_0$/m$^2$s$^{-1}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\alpha$-$\text{Al}_2\text{O}_3$</td>
<td>1.3±0.2</td>
<td>$8.6_{-7.5}^{+16} \times 10^{-14}$</td>
</tr>
<tr>
<td>$\gamma$-$\text{Al}_2\text{O}_3$</td>
<td>2.4±0.3</td>
<td>$1.7_{-1.6}^{+9} \times 10^{-11}$</td>
</tr>
<tr>
<td>$\alpha$-Ta$_2$O$_5$</td>
<td>1.2±0.1</td>
<td>$8.6_{-8.3}^{+10} \times 10^{-11}$</td>
</tr>
<tr>
<td>$\alpha$-Nb$_2$O$_5$</td>
<td>1.0±0.1</td>
<td>$9.4_{-7.0}^{+20} \times 10^{-12}$</td>
</tr>
</tbody>
</table>

![FIG. 6. Time-averaged diffusion coefficients $D_\alpha$ and time-dependent diffusion coefficients $D_n$ at 873 and 973 K in $\alpha$-$\text{Al}_2\text{O}_3$ plotted against diffusion-annealing time.](image)

![FIG. 7. Temperature dependence of oxygen diffusion coefficients in amorphous oxides and $\gamma$-$\text{Al}_2\text{O}_3$.](image)
growth and increased crystallinity. The discrepancy seems to be attributed to the difference in annealing condition for crystallization and grain growth to obtain $\gamma$-Al$_2$O$_3$ from a-Al$_2$O$_3$.

The diffusion coefficient of oxygen in the amorphous oxides is in the order of Nb$_2$O$_5$ $>$ Ta$_2$O$_5$ $>$ Al$_2$O$_3$, as shown in Fig. 7. Although $Q$ of these oxides lies in the range of 1.0–1.3 eV, $D_0$ of Nb$_2$O$_5$ and Ta$_2$O$_5$ is about two orders of magnitude larger than that of a-Al$_2$O$_3$. For metallic glasses, a corporative motion involving a cluster of neighboring atoms is operative with higher activation energy around 4 eV while a single jump motion occurs independently with a small activation energy around 1–2 eV.$^{31,32}$ The small activation energies for diffusion in amorphous oxides can be interpreted as a single jump motion. As mentioned above, the crystallization temperature $T_x$ of a-Al$_2$O$_3$, a-Ta$_2$O$_5$, and a-Nb$_2$O$_5$ has been reported to be 1073 K, 973 K, and 823 K, respectively. Diffusivity among the oxides is clearly categorized in Fig. 8, where $D$ is plotted against normalized temperature $T_x/T$; $D$ in a-Ta$_2$O$_5$ is almost consistent with that in a-Nb$_2$O$_5$, while that in a-Al$_2$O$_3$ is far smaller than the others. The good consistence in diffusivity between a-Ta$_2$O$_5$ and a-Nb$_2$O$_5$ must reflect their structural similarity, as shown in the pair distribution functions of Fig. 2.

The activation energy $Q$ and the pre-exponential factor $D_0$ for self-diffusion in solids, particularly, in metals, are empirically correlated to bulk properties such as melting point, cohesive energy, and elastic constant$^{33,34}$ since atomic diffusion is governed by the nature and strength of the cohesion in the matrix. Figure 9(a) shows the relationship between the diffusion parameters ($Q$ and $D_0$) for oxygen diffusion in metastable a-Al$_2$O$_3$ and $\gamma$-Al$_2$O$_3$, and stable $\alpha$-Al$_2$O$_3$ (Ref. 35) and their enthalpy. The value of $\alpha$-Al$_2$O$_3$ is shown as a range of some reliable data. Enthalpy in this case ($\Delta E$) is a difference with a-Al$_2$O$_3$ as a standard state.$^{22}$ $Q$ and $D_0$ increase with increasing enthalpy difference, namely, phase stability. The extreme increase from $\gamma$-Al$_2$O$_3$ to $\alpha$-Al$_2$O$_3$ is a unique trend at the transition from the metastable phase to stable phase. However, the general trend conforms to the empirical correlation; $Q$ and $D_0$ among Al$_2$O$_3$ phases increase along with stabilization of phase and increase in cohesion.

For the amorphous oxides, $Q$ and $D_0$ are plotted against the binding energy between metal and oxygen ions,$^{36}$ as shown in Fig. 9(b). As mentioned above, $Q$ of the amorphous oxides lies in 1.0–1.3 eV and shows a weak increase against binding energy. Interestingly, $D_0$ of these amorphous oxides shows a negative correlation with binding energy; it decreases with increasing binding energy. Pre-exponential factor for diffusion is expressed as

$$D_0 = gvd^2v_0\exp\left(\frac{\Delta S}{k_B}\right),$$

FIG. 8. Diffusion coefficients of oxygen plotted against temperature normalized by crystallization temperature $T_x$ in amorphous oxides.

FIG. 9. Relationship between diffusion parameters (activation energy and pre-exponential factor) and bulk properties (enthalpy difference and bond energy) among (a) Al$_2$O$_3$ phases and (b) amorphous oxides.

where g is a geometric factor, d the effective jump distance, \( v_0 \) the effective jump-attempt frequency, f the correlation factor, and \( \Delta S \) the activation entropy for diffusion. In general, \( D_0 \) represents elastic property behind the jump frequency. In the case of amorphous oxides, the jump distance most likely corresponds to oxygen-oxygen bond length. As can be seen in the PDF of Fig. 2, the bond-length of oxygen ions, d, is around 0.28 nm in a-Al2O3, a-Ta2O5, and a-Nb2O5. Although the value of the other factors, g and f, depends on the short-range configuration, the difference among these oxides is probably not so large. Since the large difference in \( D_0 \) between a-Al2O3 and a-Ta2O5 and a-Nb2O5 cannot be understood by a difference in the term. \( g f d^2 v_0 \), the main effect must come from the entropy term of Eq. (4). In the case of amorphous materials, \( D_0 \) is linked not to a formation entropy of defects, but to a migration entropy since diffusion is believed to occur without the formation of point defects as in crystals. It is known that the value of \( \Delta S \) for amorphous alloys lies in the range 19k_B to 56k_B, which are much higher than 2.5k_B to 7.5k_B for crystalline cases. The value of \( \Delta S \) for amorphous alloys would also include the contribution from increased configurational entropy, suggesting that the variety of atomic configuration is a determining factor for the large difference in \( D_0 \) between a-Al2O3, a-Ta2O5, and a-Nb2O5 than 2.5.

**IV. CONCLUSION**

Activation energy for oxygen diffusion in amorphous Al2O3, Ta2O5, and Nb2O5 oxides lies in the comparable values between 1.0 and 1.3 eV. On the other hand, the large difference in frequency factor \( D_0 \) for diffusion was found; the value of \( D_0 \) around 1.0 \( \times \) 10^{-11} m^2 s^{-1} for a-Ta2O5 and a-Nb2O5 with a lower bond energy is two orders of magnitude larger than 8.6 \( \times \) 10^{-14} m^2 s^{-1} for a-Al2O3 with a higher bond energy. It should be noted that the magnitude of the pre-exponential factor shows a negative correlation against the bond energy among these amorphous oxides. The determining factor for the large difference in \( D_0 \) in amorphous oxides can be derived from the difference in configuration entropy. The variety of short-range configuration such as bond length and coordination number is necessary for diffusion properties in amorphous oxides to be determined.

**ACKNOWLEDGMENTS**

This work was supported by Grant-in-Aid for Young Scientists (B) (No. 24760574). The analyses by SIMS and TEM were performed under the Cooperative Research Program of “Network Joint Research Center for Materials and Devices”.

**APPENDIX: TIME-SEGMENTATION OF ATOMIC JUMP**

A diffusion coefficient \( D \) and the number of atomic jump \( N \) are related to jump frequency \( \Gamma \) and jump distance \( d \).

\[
D = \Gamma d^2, \quad (A1)
\]

\[
\Gamma = \frac{N}{t}. \quad (A2)
\]

Thus, \( D \) multiplied by the annealing time \( t \) is expressed by the number of atomic jump \( N \) and a jump distance \( d \).

\[
Dt = Nd^2. \quad (A3)
\]

For the time-averaged diffusion coefficient \( \bar{D} \), the following equation holds;

\[
\bar{D} \cdot n\Delta t = Nd^2, \quad (A4)
\]

where it is assumed that \( d \) is constant during \( n\Delta t \). On the other hand, for the time-segmented diffusion coefficient \( D_n \), Eq. (A5) is satisfied as

\[
D_1 \Delta t + D_2 \Delta t + \cdots + D_n \Delta t = (N_1 + N_2 + \cdots + N_n)d^2. \quad (A5)
\]

Since \( N_1 + N_2 + \cdots + N_n = N \) is satisfied, we obtain

\[
\bar{D} \cdot n\Delta t = D_1 \Delta t + D_2 \Delta t + \cdots + D_n \Delta t. \quad (A6)
\]